

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Number : 10/758,262 Confirmation No.: 5705
Applicant : Kevin SULLIVAN
Filed : January 16, 2004
Title : MUTUAL FUND CARD METHOD AND SYSTEM
TC/Art Unit : 3629
Examiner: : Unassigned

Docket No. : 47004.000279
Customer No. : 21967

MAIL STOP AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, and in compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, applicants submit attached Form PTO-SB/08A (modified) for consideration and request the references cited therein be made of record by the U.S. Patent and Trademark Office in the above-captioned application.

Applicants respectfully point out that the submission of the listed references in this Information Disclosure Statement is not an admission that they are prior art or that they are material to patentability of any claims of the application. Also, the submission of this Information Disclosure Statement is not an indication that a search has been made by Applicants.

For the convenience of the Examiner in considering the cited references, a copy of each of the cited references is enclosed with this communication. In considering the cited references, it may be noted by the Examiner that certain of the references may contain markings, underlinings, and/or other notations. These markings, underlinings, and/or other notations are not to be construed as drawing the Examiner's attention either to selected parts or away from other parts of the cited references. Any such markings were either present on the copies of the cited

references obtained by the associated individuals, or were made thereon during the study of the references by the associated individuals.

As this application was filed after June 30, 2003, copies of U.S. patents and/or U.S. patent application publications for national stage applications under 35 U.S.C. 371 cited on the attached Form PTO-SB/08A (modified), are not being provided as specified in 1276 O.G. 55 (5 August 2003).

Consideration of the foregoing plus the prompt return of a copy of the enclosed Form SB/08A with the Examiner's initials in the left column in accordance with MPEP 609 are respectfully requested.

In accordance with 37 C.F.R. § 1.97(b), this Information Disclosure Statement is believed to be submitted prior to issuance of a first Office Action. Therefore, it is respectfully submitted that no fee is required for consideration of this information. However, in the event any fee is deemed necessary, the Commissioner is authorized to charge the undersigned's Deposit Account No. 50-0206.

Respectfully submitted,

HUNTON & WILLIAMS LLP

Dated: August 2, 2006

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Application Number	10/758,262
		Filing Date	January 16, 2004
		First Named Inventor	Kevin SULLIVAN
		Art Unit	3629
		Examiner Name	Unassigned
Sheet	1 of 1	Attorney Docket Number	47004.000279

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No	DOCUMENT NUMBER Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1.	US 5726884	03-10-1998	Sturgeon et al.	
	2.	US 5765138	06-09-1998	Aycock et al.	
	3.	US 5991743	11-23-1999	Irving et al.	
	4.	US 6901372	05-31-2005	Helzerman	
	5.	US 7006992	02-28-2006	Packwood	
	6.	US 2001/0054003	12-20-2001	Chien et al.	
	7.	US 2001/0037315	11-01-2001	Saliba et al.,	
	8.	US 2002/0120642	08-29-2002	Fetherston	
	9.	US 5659741	08-19-1997	Eberhardt	
	10.	US 5832488	11-03-1998	Eberhardt	
	11.	US 5914472	06-22-1999	Foladare et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No	FOREIGN PATENT DOCUMENT	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	TRANSLATION
		Country Code Number- Kind Code (if known)				YES/NO
	12.	WO 98/59307	12/30/1998	Beale et al.		

EXAMINER SIGNATURE	DATE CONSIDERED
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609 Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	